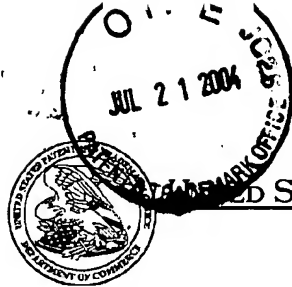


IFW / \$



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/813,619	03/31/2004	Joo-ho Kim	1793.1234

CONFIRMATION NO. 3826

## FORMALITIES LETTER



\*OC000000012955991\*

21171  
 STAAS & HALSEY LLP  
 SUITE 700  
 1201 NEW YORK AVENUE, N.W.  
 WASHINGTON, DC 20005

Date Mailed: 06/15/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/22/2004 EABUBAK1 00000062 10813619

FILED UNDER 37 CFR 1.53(b)

01 FC:1001	770.00 OP
02 FC:1202	180.00 OP
03 FC:1051	130.00 OP

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - Numbers, letters, and reference characters on the drawings must measure at least 0.32 cm (1/8 inch) in height. See Figure(s) 7a,b / 8a,b / 9a,b.
  - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 7a,b / 8a,b / 9a,b.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$180** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

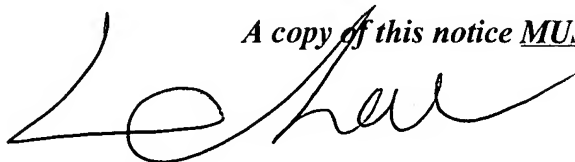
Total additional fee(s) required for this application is **\$1080** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$180**
  - **\$180** for **10** total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



S&amp;H Form: PTO/SB/17 (10/03)

<b>RESPONSE TO NOTICE TO FILE MISSING PARTS</b>	Attorney Docket No.	1793.1234																						
	Application Number	10/813,619																						
	Filing Date	March 31, 2004																						
	First Named Inventor	Joo-ho KIM et al.																						
	Group Art Unit																							
Title:	<b>RECORDING MEDIUM HAVING SUPER-RESOLUTION NEAR-FIELD STRUCTURE AND METHOD AND APPARATUS FOR REPRODUCING THE SAME</b>																							
<p>Pursuant to 37 C.F.R. § 1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed <u>June 15, 2004</u> for the above-identified application, enclosed are the following:</p> <p><input checked="" type="checkbox"/> RETURN COPY of Notice to File Missing Parts of Nonprovisional Application</p> <p><input checked="" type="checkbox"/> Executed Combined Declaration/Power of Attorney executed by the inventor(s) for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor(s) executed by signing the attached Declaration.</p> <p><input type="checkbox"/> Formal Drawings (___ Sheets; Figs ____)</p> <p><input type="checkbox"/> English-language translation of application (with Translator's Statement (pages ___))</p> <p><input type="checkbox"/> Verified Statement Claiming Small Entity Status</p> <p>Enclosed is a payment of the following:</p> <table><tr><td><input checked="" type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a)</td><td>\$ 770.00</td></tr><tr><td><input checked="" type="checkbox"/> The additional claim(s) fee (10 claims over 20)</td><td>\$ 180.00</td></tr><tr><td><input type="checkbox"/> The additional independent claim(s) fee (claims over 3)</td><td>\$</td></tr><tr><td><input type="checkbox"/> Multiple dependent claims</td><td>\$</td></tr><tr><td><input type="checkbox"/> Petition for Extension of Time (___-month)</td><td>\$</td></tr><tr><td><input type="checkbox"/> English language translation fee</td><td>\$</td></tr><tr><td><input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.16(e)</td><td>\$130.00</td></tr><tr><td><b>SUBTOTAL FEES:</b></td><td><b>\$1080.00</b></td></tr><tr><td><input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27)</td><td>.00</td></tr><tr><td><b>SUBTOTAL FEES:</b></td><td><b>\$1080.00</b></td></tr><tr><td><b>TOTAL FEES DUE:</b></td><td><b>\$1080.00</b></td></tr></table>			<input checked="" type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a)	\$ 770.00	<input checked="" type="checkbox"/> The additional claim(s) fee (10 claims over 20)	\$ 180.00	<input type="checkbox"/> The additional independent claim(s) fee (claims over 3)	\$	<input type="checkbox"/> Multiple dependent claims	\$	<input type="checkbox"/> Petition for Extension of Time (___-month)	\$	<input type="checkbox"/> English language translation fee	\$	<input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.16(e)	\$130.00	<b>SUBTOTAL FEES:</b>	<b>\$1080.00</b>	<input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27)	.00	<b>SUBTOTAL FEES:</b>	<b>\$1080.00</b>	<b>TOTAL FEES DUE:</b>	<b>\$1080.00</b>
<input checked="" type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a)	\$ 770.00																							
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<input type="checkbox"/> The additional independent claim(s) fee (claims over 3)	\$																							
<input type="checkbox"/> Multiple dependent claims	\$																							
<input type="checkbox"/> Petition for Extension of Time (___-month)	\$																							
<input type="checkbox"/> English language translation fee	\$																							
<input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.16(e)	\$130.00																							
<b>SUBTOTAL FEES:</b>	<b>\$1080.00</b>																							
<input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27)	.00																							
<b>SUBTOTAL FEES:</b>	<b>\$1080.00</b>																							
<b>TOTAL FEES DUE:</b>	<b>\$1080.00</b>																							

Since the Notice to File Missing Parts of Nonprovisional Application set an original due date of \_\_, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$420)); (3 months (\$950)); (4 months (\$1,480)); (5 months (\$2,010)):

**METHOD OF PAYMENT**

- ☒ Check enclosed as payment.  
☐ Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.

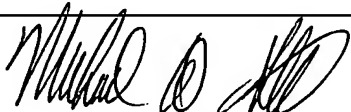
**AUTHORIZATION**

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:

Deposit Account No.: 19-3935

Deposit Account Name: STAAS & HALSEY LLP

**SUBMITTED BY: STAAS & HALSEY LLP**

Typed Name	Michael D. Stein	Reg. No.	37,240
Signature		Date	7/21/04